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UTILITY PATENT APPLICATION

TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

		y Docket No.	4034-20					
		<u> </u>	T					
		amed Inventor or ation Identified_	Thomas C. Naratil					
	Title	le System and Method for Trading Taxable and Non-Taxable Securities						
	Expres	s Mail Label No.	EL478364962US					

	APPLICATION ELEMENTS r 600 concerning utility patent application cont		DDRESS	S TO:	Assistant Com Box Patent Ap Washington, I	plication	ı	392 PTC		
(Submit and 2. Specification (preferred - Descrip - Cross R - Stateme - Referen - Backgro	arrangement as set forth below) tive title of the Invention References to Related Applications ent Regarding Fed sponsored R & D nce to Microfiche Appendix bund of the Invention	2	5. [6. N	 Iucleotide	ofiche Computer Pro and/or Amino Acid (cable, all necessary Computer Reac Paper Copy (ide Statement verify	Sequence S // lable Copy entical to cor	ubmission	09/688 09/688		
 Brief Summary of the Invention Brief Description of the Drawings (if filed) 			ACCOMPANYING APPLICATION PARTS							
- Detailed - Claim(s - Abstrac 3.	d Description) It of the Disclosure (35 USC 113) [Total Sheets 4	ed) entor(s) FR	7. 8. 9. 10. 11. 12. 13.	37	· · L	ent gnee) cument (if a 449 at d (MPEP 50 ically item Statement fi Status still p rity Docume	Power of A pplicable) Copies of ID Citations 33) ized) iled in prior applic proper and desired int(s)	S ation,		
16. If a CONTI	NUING APPLICATION, check appropriate	box and su	ipply the	requisite	information below	and in a pre	eliminary amend	ment:		
Continuation Divisional Continuation-in-part (CIP) of prior application No: Prior application information: Examiner: Group/Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.										
-	17. CO	RRESPO	NDENCE	ADDRE	ss					
□Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ⊠Correspondence address below										
NAME	NAME Leslie Gladstone Restaino, Esq. Riker, Danzig, Scherer, Hyland & Perretti LLP									
ADDRESS										
One Speedwell Avenue										
CITY		STATE	NJ		ZI	P CODE	07962			
COUNTRY	USA T	ELEPHO	NE	973-53	8-0800	FAX	973-359-098	34		
Name (Print/Type	Leslie Gladstone Restaino, Esq.	7		Registi	ration No. (Attorney	//Agent)	38,893, 10/16/2/9	ກ		

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otherwise large entity fees must be paid. See Forms PTO/SB/09-12	Group/Art Unit						988		
See 37 C.F.R. § 1.27 and 1.28 TOTAL AMOUNT OF PAYMENT (\$) 830.00	Attorney Docket Number				4034-20	4024.20			
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Name (Print/Type) Leslie Gladstone Restaino, Esq.		gistration		38.8	93		973-538-	 1800	\dashv
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Thomas C. Naratil

Attorney Docket No.:

4034-20

For:

SYSTEM AND METHOD FOR TRADING

TAXABLE AND NON-TAXABLE SECURITIES

Headquarters Plaza One Speedwell Avenue Morristown, N.J. 07962 October 16, 2000

Box Patent Application Assistant Commissioner of Patents Washington, D.C. 20231

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CERTIFICATION

I hereby certify that this paper, bearing Express Mailing Label No. EL478364962US, together with the accompanying papers directed to the above-identified Utility Patent Application are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Muriel Fleming
(Mailer)